

## Stay ahead of the competition with MarketPulse's comprehensive database of current and historical SEC EDGAR filings.

Our SaaS-based Fund Filing platform doesn't just store mutual fund and ETF SEC filings—it extracts them, then consolidates the data in a streamlined, categorized format providing actionable insights. Daily updates ensure a current database, complete with critical changes and newly registered funds.

Asset managers and service companies rely on Fund Filing for:



### Product Development

Stay competitive and reveal market opportunities by monitoring competitor fund changes and new registrations



### Fees and Expense Research

Contract details, sub-advisory arrangements, service features



### Distribution/Sales Competitive Intelligence

Real-time updates on fee, strategy, and manager changes



### Legal Research

Legal language, variations, and changes

### MarketPulse Fund Filing

Fund Changes New Registrations SEC Filings Filings Insight

Fund Changes | [View Summary](#)

94 Fund Changes Found

Company	Profile	Special Strategies	Change Type	Effective Date	Filing Date	Prospectus Date
Lee Finl Group HI	Hawaii Municipal		Fund Reorganization	4/5/2025	3/21/2025	4/29/2025
Delaware	Delaware High Yield Opportunity	✓	Portfolio Manager	3/1/2025	3/21/2025	4/29/2024
Aperture Investors	Aperture New World Opportunities	✓	Liquidation	3/21/2025	3/29/2023	2/27/2025
Fidelity	Fidelity New Millennium ETF	✓	Strategy	3/21/2025	3/29/2025	3/24/2024

## Stay Current with Fund Filing

The Daily Alert Service notifies you of changes to mutual funds and ETFs as reported in 497 filings (prospectus supplements). The report classifies key information and notifies you of the changes in a consolidated format. Summary reports highlight monthly trends, and the New Fund/Class Tracking System provides concise profiles of new filing registrations.

## Stay Ahead of the Market

Identify new opportunities and respond quickly to product development trends and competitor fund changes with real-time monitoring. Fund Filing is easy to use, offering flexible search options by fund name, policy name, filing date, and key terms.

### Gain Competitor Intelligence

Track competitors' strategic responses to market conditions with daily updates on new fund registrations and changes to existing funds. Monitor updates on advisory fees, waivers, portfolio managers, sub-advisors, fund reorganizations, and more—over 50 categories of change.

### Identify Trends

Leverage 20+ years of Fund Filing history to track trends in mutual funds, ETFs, and newer vehicles like interval and tender offer funds, and BDCs. Monitor new fund registrations by vehicle, objective, and firm to see where the competition is going.

### Optimize Product Offerings

Discover growth opportunities, improve profitability, or address under-performing funds using powerful search filters by manager, product type, and asset category. Access the insights needed to rationalize and strengthen your product lineup.

### Real-Time Tracking Across 50+ Categories

Get daily insights into product development and share class pricing trends from SEC filings, including:

- New fund and ETF registrations
- New share class offerings
- Sub-advisory changes
- Changes to advisory fee schedules
- Portfolio manager changes
- Strategy changes and special event filings

### Custom Alerts & Notifications

Receive personalized email alerts on selected funds based on your specified criteria, including:

- Asset Class
- Investment Objective
- Investment Vehicle
- Managers
- Service Providers
- Portfolios

[Learn more about MarketPulse](#)

## ISSMI About ISS Market Intelligence

ISS Market Intelligence (MI) is a leading provider of data, insights, and market engagement solutions to the global financial services industry. ISS MI empowers asset and wealth management firms, insurance companies, distributors, service providers, and technology firms to assess their target markets, identify and analyze the best opportunities within those markets, and execute on comprehensive go-to-market initiatives to grow their business. Clients benefit from our increasingly connected global platform that leverages a combination of proprietary data, powerful analytics, timely and relevant insights, in-depth research, as well as an extensive suite of industry-leading media brands that deliver unmatched market connectivity through news and editorial content, events, training, ratings, and awards.